



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: T. Konuma, et al. : Art Unit:  
Serial No.: TO BE ASSIGNED : Examiner:  
Filed: HEREWITH :  
For: METHOD AND APPARATUS :  
FOR SPEECH RECOGNITION :

INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents  
Washington, D.C. 20231  
S I R :

Pursuant to 37 C.F.R. §§ 1.97 and 1.98 and to the duty of disclosure set forth in 37 C.F.R. § 1.56, the Examiner in charge of the above-identified application is requested to consider and make of record the references listed on the PTO 1449 (R&P) submitted herewith. A copy of each of the listed references is also enclosed.

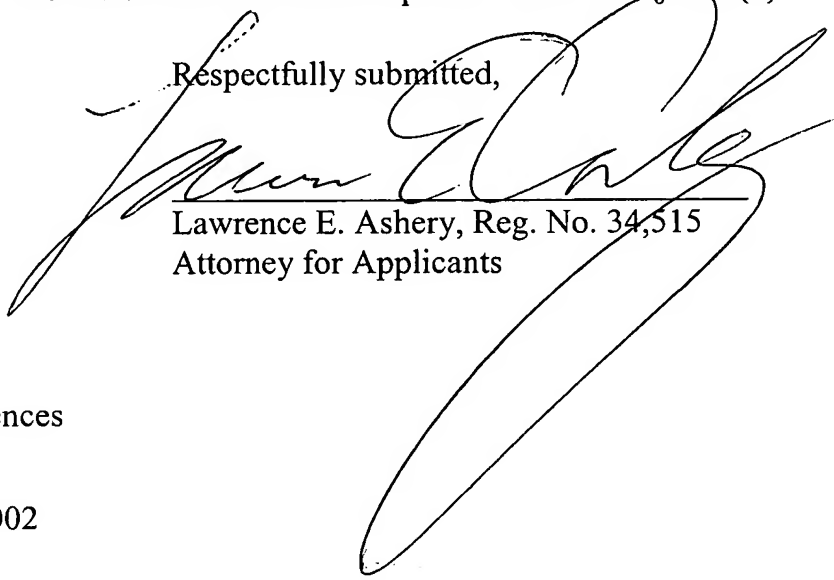
Although the information submitted herewith may be "material" to the Examiner's consideration of the subject application, this submission is not intended to constitute an admission that such information is "prior art" as to the claimed invention.

In accordance with 37 C.F.R. § 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made.

The Japanese References attached are not in the English language, but an English abstract thereof is attached to the copy of the reference submitted herewith.

This Information Disclosure Statement is being filed with the above-referenced application. No fee or certification is required. 37 C.F.R. § 1.97(b).

Respectfully submitted,

  
Lawrence E. Ashery, Reg. No. 34,515  
Attorney for Applicants

Enclosures:

PTO Form 1449

Copy of (5) References

Dated: January 17, 2002

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The Assistant Commissioner for Patents is hereby authorized to charge payment to Deposit Account No. 18-0350 of any fees associated with this communication.

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I hereby certify that this paper and fee are being deposited, under 37 C.F.R. § 1.10 and with sufficient postage, using the "Express Mail Post Office to Addressee" service of the United States Postal Service on the date indicated above and that the deposit is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

  
Kathleen Libby

FORM PTO-1449 (Rev. 2-32)  U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE  Information Disclosure Statement by Applicant  (Use several sheets if necessary)	ATTY. DOCKET NO.  MAT-8220US	SERIAL NO  TO BE ASSIGNED
	APPLICANT T. Konuma, et al.	
	FILING DATE HEREWITH	GROUP

JG971 U.S. PTO

10/052145



## U.S. PATENT DOCUMENTS

Exmr Initial		Document Number	Date	Name	Class	Sub Class	Filing Date

## FOREIGN PATENT DOCUMENTS

Exmr Initial		Document Number	Date	Country	Class	Sub Class	Translation YES   NO
		JP 09081185	03/28/1997	Japan (with English Abstract enclosed)			
		JP 11045097	02/16/1999	Japan (with English Abstract enclosed)			
		JP 08006588	01/12/1996	Japan (with English Abstract enclosed)			
		JP 10254496	09/25/1998	Japan (with English Abstract enclosed)			

## OTHER DOCUMENTS

(Including Author, Title, Date, Pertinent Pages, Etc.)

		1.	Publication – Data Driven Search Organization for Continuous Speech Recognition

Examiner	Date Considered
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Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.